

019332
531 Rec'd PCT/PTO 21 DEC 2001

Docket No.: SONYJP 3.3-160
(PATENT)

Express Mail Label No. EL804518747US Dated: December 21, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

6

In re Patent Application of:
Kuninori et al.

International Application No.: PCT/JP01/03584

Group Art Unit: N/A

International Filing Date: April 25, 2001

Examiner: Not Yet Assigned

For: OPTICAL COMMUNICATION METHOD
AND APPARATUS

Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

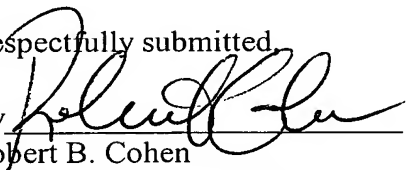
Dear Sir:

It is respectfully requested that the references listed on the enclosed Form PTO-1449 be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. These references were cited in the background section of the present specification and/or a corresponding International Search Report, a copy of which is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: December 21, 2001

Respectfully submitted,

By 
Robert B. Cohen
Registration No.: 32,768
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP
600 South Avenue West
Westfield, New Jersey 07090
(908) 654-5000
Attorneys for Applicant

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	Not Yet Assigned 10/019332
				Filing Date	
				First Named Inventor	Shino Kuninori
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SONYJP-160

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	A	JP-11-352364-A	12-24-1999	Sony Corporation		**
	B	JP-11-237535-A	08-31-1999	Sony Corporation		**
	C	JP-10-242918-A	09-11-1998	Fujikura Ltd.		**
	D	JP-8-234063-A	09-13-1996	Sony Corporation		**
	E	JP-8-234061-A	09-13-1996	Kyocera Corporation		**
	F	JP-5-268170-A	10-15-1993	Fujikura Ltd		**
	G	JP-5-91049-A	04-09-1993	NEC Corporation		**

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**with English Abstract Only

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.